

## THIS IS THE BEGINNING OF ADMINISTRATIVE FINE CASE # 2570

DATE SCANNED 3-7-/3

SCANNER NO.  $\underline{\partial}$ 

SCAN OPERATOR MAP



2012 DEC -7 PM 3: 23

December 6, 2012

**MEMORANDUM** 

TO:

The Commission

THROUGH:

Alec Palmer

FROM:

Patricia C. Orrock PCO

Chief Compliance Officer

Debbie Chacona 110 **Assistant Staff Director** Reports Analysis Division

BY:

odi Winship

Compliance Branch

SUBJECT:

Reason to Believe Recommendation -

Failure to File 48-Hour Notices under the Administrative Fine Program

Attached is the name of a principal campaign committee that has failed to file 48-hour notices with the Commission for contributions of \$1,000.00 or more received (1) from the close of books for the 2010 Pre-Special General Report up to 48 hours before the May 22, 2010 Special General Election, (2) from the close of books for the 2010 Pre-Primary Report up to 48 hours before the September 18, 2010 Primary Election, and (3) from the close of books for the 2010 12 Day Pre-General Report up to 48 hours before the November 2, 2010 General Election, in accordance with 2 U.S.C. § 434(a) and 11 CFR. § 104.5(f). The committee, Djou for Hawaii, represents a candidate who won the 2010 Special General Election and lost the regularly scheduled 2010 General Election. The committee is being referred for billing to file 48-hour notices for contributions totaling \$88,633.33.

A 48-hour notice is required to report all contributions of a \$1,000.00 or more, to any authorized committee of a candidate, including contributions from the candidate, loans from the candidate and other non-bank sources and endorsements or guarantees of loans from banks, as per, 11 CFR § 104.5(f).

We have atteched an information sheet which includes the contributor name, date of receipt, amount, and election of the centributions for which a 48-hour notice was not filed.

In accordance with the schedule of civil money penalties outlined within 11 CFR § 111.44, this committee should be assessed the civil money penalty so indicated.

#### Recommendation

- 1. Find reason to believe that Djou for Hawaii and Chris S. Mashiba, Treasurer, violated 2 U.S.C. § 434(a) and make a preliminary determination that a civil money penalty of \$10,733.00 be assessed.
- 2. Send the appropriate letter.

Attachment

## 2010 Special General, Primary, and General Contributions for Which a 48-Hour Notice Was Not Received

AF: 2570

Committee ID: C00441451

Committee Name: Djou for Hawaii

Report Types: 30 Day Post-Special General Report (5/3/2010 - 6/11/2010)

October Quarterly Report (8/30/2010 - 9/30/2010) 30 Day Post General Report (10/14/2010 - 11/22/2010)

Special General 48-Hour Reporting Period: 5/3/2010 - 5/19/2010

Primary 48-Hour Reporting Period: 8/30/2010 – 9/15/2010 General 48-Hour Reporting Period: 10/14/2010 - 10/30/2010

| CONTRIBUTOR                                       | DATE       | AMOUNT       | REPORTING<br>PERIOD |
|---|------------|--------------|---------------------|
| AMERICAN SOLUTIONS PAC                            | 5/4/2010   | \$1,000.00   | SPECIAL             |
| FOR AMERICAS REPUBLICAN                           | 37.11.2010 | . 41,000.00  | · Or DOM ID         |
| MAJORITY PAC                                      | 5/4/2010   | \$1,000.00   | SPECIAL             |
| FOR AMERICAS REPUBLICAN MAJORITY PAC              | 5/4/2010   | \$4,000.00   | SPECIAL             |
| FUЛMOTO, LORIANN MRS.                             | 5/4/2010   | \$1,000.00   | SPECIAL             |
| GOLDMAN, MARC'S MR.                               | 5/4/2010   | \$1,000.00   | SPECIAL             |
| HELPING ENSURE RESPONSIBLE GOVERNMENT BY ELECTING | •          |              |                     |
| REPUBLICAN  | -5/4/2010  | \$1,000.00   | SPECIAL             |
| HOCHFELDER, PETER A MR.                           | 5/4/2010   | \$1,000.00   | SPECIAL             |
| JAQUISH, GAIL A DR.                               | 5/4/2010   | \$4,800.00   | SPECIAL             |
| KAWANANAKOA, ABIGAIL K MS.                        | 5/4/2010   | \$1,000.00   | SPECIAL             |
| LIBERTY FIRST PAC                                 | 5/4/2010   | \$2,000.00   | SPECIAL             |
| NFIB SAFE TRUST                                   | 5/4/2010   | \$2,500.00   | SPECIAL             |
| OCPAC   | 5/4/2010   | \$1,000.00   | SPECIAL             |
| POOLE, RICHARD JAMES MR.                          | 5/4/2010   | \$1,000.00   | SPECIAL             |
| VIRTUE, ROBERT A MR.                              | 5/4/2010   | \$1,000.00   | SPECIAL             |
| GOLD, DOUGLAS MR.                                 | 5/5/2010   | \$1,000.00   | SPECIAL             |
| NIKKHOO, IVAN MR.                                 | 5/5/2010   | \$2,400.00   | SPECIAL             |
| BISHOP, ROBERT MR.                                | 5/8/2010   | \$2,400.00   | SPECIAL             |
| JAGGERS, KURT MR.                                 | 5/11/2010  | \$1,000.00   | SPECIAL             |
| LEWIT, ROBERT DR. MD                              | 5/11/2010  | . \$1,000.00 | SPECIAL             |
| BENDER, DONNA MS.                                 | 5/14/2010  | \$1,000.00   | 9PECIAL             |
| ELDEN, RICHARD MR.                                | 5/14/2010  | \$2,400.00   | SPECIAL             |
| JAMES, RON MR                                     | 5/14/2010  | \$1,400.00   | SPECIAL             |
| THOLEN, C. PHILIP MR.                             | 5/14/2010  | \$2,400.00   | SPECIAL             |
| BURGER, BENJAMIN MR.                              | 8/30/2010  | \$1,200.00   | PRIMARY             |
| MCMILLAN, MARY LOIS MS.                           | 8/31/2010  | \$1,000.00   | PRIMARY             |

| TAFF, LAWRENCE J MR. 9/2/2010 \$1,000.00 PRI EDISON INTERNATIONAL PAC 9/8/2010 \$1,000.00 PRI HOCKER, LON MR. 9/8/2010 \$1,000.00 PRI HOCKER, LON MR. 9/8/2010 \$1,000.00 PRI HONEYWELL 9/8/2010 \$1,333.33 PRI AMERICAN SUGCESS PAC 9/15/2010 \$1,000.00 PRI KOCH INDUSTRIES, INC. POLITICAL ACTION COMMITTE 9/15/2010 \$5,000.00 PRI TUESDAY GROUP POLITICAL ACTION COMMITTE 9/15/2010 \$5,000.00 PRI ENTRE PRINTEIN, LAWRENCE MR. 10/15/2010 \$2,400.00 GEI BUTLER, COLIN MR. 10/15/2010 \$2,400.00 GEI LUNDQUIST, BAVID R MR. 10/15/2010 \$1,000.00 GEI LUNDQUIST, BAVID R MR. 10/18/2010 \$1,000.00 GEI LUNDQUIST, BAVID R MR. 10/18/2010 \$1,000.00 GEI COMMITTEE TO RE-ELECT CONGRESSMAN DANA ROHRABACHER 10/19/2010 \$1,000.00 GEI DAMON, JOHN P MR. 10/19/2010 \$1,000.00 GEI COMMITTEE 10/19/2010 \$1,000.00 GEI SAKURAI, KENNETH M MR. 10/19/2010 \$1,000.00 GEI ALTRIA GROUP INC POLITICAL ACTION COMMITTEE 10/19/2010 \$1,000.00 GEI AMBRICAN VETERINARY MEDICAL ASSOCIATION 10/28/2010 \$1,000.00 GEI AMBRICAN VETERINARY MEDICAL ASSOCIATION 10/28/2010 \$1,000.00 GEI AMBRICAN VETERINARY MEDICAL ASSOCIATION 10/28/2010 \$1,000.00 GEI GOODFELLOW, TAMAR CHOTZEN MRS. 10/28/2010 \$1,000.00 GEI GOODFELLOW, TAMAR CHOTZEN MRS. 10/28/2010 \$1,000.00 GEI HUNG, MARSHALL W MR. 10/28/2010 \$1,000.00 GEI MICROSOFT CORPORATION PAC 10/28/    |              |             |             |                                 |
|--|--------------|-------------|-------------|---------------------------------|
| EDISON INTERNATIONAL PAC 9/8/2010 \$1,000.00 PRI HOCKER, LON MR. 9/8/2010 \$1,000.00 PRI HOCKER, LON MR. 9/8/2010 \$1,000.00 PRI HONEYWELL 9/8/2010 \$1,333.33 PRI AMERICAN SUGCESS PAC 9/15/2010 \$1,000.00 PRI KOCH INDUSTRIES, INC. POLITICAL ACTION COMMITTE 9/15/2010 \$5,000.00 PRI TUESDAY GROUP POLITICAL ACTION COMMITTE 9/15/2010 \$5,000.00 PRI BERNSTEIN, LAWRENCE MR. 10/15/2010 \$2,400.00 GEI BUTLER, COLIN MR. 10/15/2010 \$2,400.00 GEI LUNDQUIST, BAVID R MR. 10/15/2010 \$1,000.00 GEI LUNDQUIST, BAVID R MR. 10/18/2010 \$1,000.00 GEI COMMITTEE TO RE-ELECT CONGRESSMAN DANA ROHRABACHER 10/19/2010 \$1,000.00 GEI COMMITTEE TO RE-ELECT CONGRESSMAN DANA ROHRABACHER 10/19/2010 \$1,000.00 GEI DAMON, JOHN P MR. 10/19/2010 \$1,000.00 GEI SAKURAI, KENNETH M MR. 10/19/2010 \$1,000.00 GEI SAKURAI, KENNETH M MR. 10/19/2010 \$1,000.00 GEI ALTRIA GROUP INC POLITICAL ACTION COMMITTEE 10/19/2010 \$1,000.00 GEI AMERICAN VETERINARY MEDICAL ASSOCIATION 10/28/2010 \$1,000.00 GEI GOODFELLOW, TAMAR CHOTZEN MRS. 10/28/2010 \$1,000.00 GEI GOODFELLOW, TAMAR CHOTZEN MRS. 10/28/2010 \$1,000.00 GEI GOODFELLOW, TAMAR CHOTZEN MRS. 10/28/2010 \$1,000.00 GEI HUNG, MARSHALL W MR. 10/28/2010 \$1,000.00 GEI HUNG, MARSHALL W MR. 10/28/2010 \$1,000.00 GEI MICROSOFT CORPORATION PAC 10/28/2010 \$1,000.00 GEI MATIONAL ASSOCIATION OF HEALTH UNDERWRITERS 10/28/2010 \$1,000.00 GEI MATIONAL ASSOCIATION OF HEALTH UNDERWRITERS 10/28/2010 \$1,000.00 GEI MACTIONAL ASSOCIATIO       | PRIMARY      | \$1,500.00  | 9/2/2010    | OKI, PATRICK H MR.              |
| HOCKER, LON MR.   9/8/2010   \$1,000.00   PRI  | PRIMARY      | \$1,000.00  | 9/2/2010    | TAFF, LAWRENCE J MR.            |
| HONEYWELL  | PRİMARY      | \$1,000.00  | 9/8/2010    | EDISON INTERNATIONAL PAC        |
| AMERICAN SUCCESS PAC    9/15/2010   \$1,000.00   PRI   | PRIMARY      | \$1,000.00  | 9/8/2010    | HOCKER, L'ON MR.                |
| KOCH INDUSTRIES, INC. POLITICAL ACTION COMMITTE  | PRIMARY      | \$1,333.33  | 9/8/2010    | HONEYWELL                       |
| ACTION COMMITTE  | PRIMARY      | \$1,000.00  | 9/15/2010   | AMERICAN SUCCESS PAC            |
| TUESDAY GROUP POLITICAL ACTION COMMITTEE  BERNSTEIN, LAWRENCE MR.  BUTLER, COLIN MR.  LUNDQUIST, EAVID R MR.  LAI, ALVA ANN M MRS.  TILLLINGHAST, STANLEY DR.  COMMITTEE TO RE-ELECT CONGRESSMAN DANA ROHRABACHER 10/19/2010 \$1,000.00 GE  DAMON, JOHN P MR.  REALTORS POLITICAL ACTION COMMITTEE 10/19/2010 \$1,000.00 GE  SAKURAI, KENNETH M MR.  ALTRIA GROUP INC POLITICAL ACTION COMMITTEE 10/19/2010 \$1,000.00 GE  AMERICAN VETERINARY MEDICAL ASSOCIATION BANK OF HAWAII SPEC 10/28/2010 \$1,000.00 GE  GOODFELLOW, TAMAR CHOTZEN MRS. 10/28/2010 \$1,000.00 GE  HUNG, MARSHALL W MR. 10/28/2010 \$1,000.00 GE  HUNG, MARSHALL W MR. 10/28/2010 \$1,000.00 GE  MICROSOFT CORPORATION PAC 10/28/2010 \$1,000.00 GE  MICROSOFT CORPORATION PAC 10/28/2010 \$1,000.00 GE  NATIONAL ASSOCIATION OF HEALTH UNDERWRITERS 10/28/2010 \$1,000.00 GE  NATIONAL ASSOCIATION OF HEALTH UNDERWRITERS 10/28/2010 \$1,000.00 GE  REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC 10/28/2010 \$5,000.00 GE  ASAM, DONALD MR. 10/29/2010 \$1,000.00 GE  ASAM, DONALD MR. 10/28/2010 \$5,000.00 GE  ASAM, DONALD MR. 10/28/2010 \$1,000.00 GE  ASAM, DONALD MR. 10/28/2010 \$5,000.00 GE  ASAM, DONALD MR. 10/28/2010 \$1,000.00 GE   |              |             |             | KOCH INDUSTRIES, INC. POLITICAL |
| COMMITTEE   9/15/2010   \$5,000.00   PRI   | PRIMARY      | \$5,000.00  | 9/15/2010   |                                 |
| BERNSTEIN, LAWRENCE MR. 10/15/2010 \$2,400.00 GEI BUTLER, COLIN MR. 10/15/2010 \$2,400.00 GEI LUNDQUIST, EAVID R MR. 10/17/2010 \$1,500.00 GEI LAI, ALVA ANN M MRS. 10/18/2010 \$1,000.00 GEI COMMITTEE TO RE-ELECT CONGRESSMAN DANA ROHRABACHER 10/19/2010 \$1,000.00 GEI DAMON, JOHN P MR. 10/19/2010 \$1,000.00 GEI COMMITTEE TO RE-ELECT CONGRESSMAN DANA ROHRABACHER 10/19/2010 \$1,000.00 GEI COMMITTEE TO RE-ELECT CONGRESSMAN DANA ROHRABACHER 10/19/2010 \$1,000.00 GEI COMMITTEE 10/28/2010 \$1,000.00 GEI COMMITTE COMMITTE 10/28/2010 \$2,000.00 GEI COMMITTE CO     |              |             |             |                                 |
| BUTLER, COLIN MR. 10/15/2010 \$2,400.00 GEI LUNDQUIST, EAVID R MR. 10/17/2010 \$1,500.00 GEI LAI, ALVA ANN M MRS. 10/18/2010 \$1,000.00 GEI COMMITTEE TO RE-ELECT CONGRESSMAN DANA ROHRABACHER 10/19/2010 \$1,000.00 GEI DAMON, JOHN P MR. 10/19/2010 \$1,000.00 GEI COMMITTEE TO RE-ELECT CONGRESSMAN DANA ROHRABACHER 10/19/2010 \$1,000.00 GEI DAMON, JOHN P MR. 10/19/2010 \$1,000.00 GEI COMMITTEE 10/19/2010 \$1,000.00 GEI COMMITTEE 10/19/2010 \$1,000.00 GEI COMMITTEE 10/19/2010 \$1,000.00 GEI COMMITTEE 10/28/2010 \$1,000.00 GEI COUNTRY DESERVES BETTER PAC/TEAPARTYEXP 10/28/2010 \$2,000.00 GEI COUNTRY DESERVES BETTER PAC/TEAPARTYEXP 10/28/2010 \$1,000.00 GEI COUNTRY DESERVES BETTER PAC/TEAPARTYEXP 10/28/2010 \$1,000.00 GEI COUNTRY DESERVES BETTER PAC/TEAPARTYEXP 10/28/2010 \$1,000.00 GEI COUNTRY DAC TANDO                                  | PRIMARY      |             |             |                                 |
| LUNDQUIST, EAVID R MR. 10/17/2010 \$1,500.00 GEI LAI, ALVA ANN M MRS. 10/18/2010 \$1,000.00 GEI TILLLINGHAST, STANLEY DR. 10/18/2010 \$1,000.00 GEI COMMITTEE TO RE-ELECT CONGRESSMAN DANA ROHRABACHER 10/19/2010 \$1,000.00 GEI DAMON, JOHN P MR. 10/19/2010 \$1,000.00 GEI REALTORS POLITICAL ACTION COMMITTEE 10/19/2010 \$1,000.00 GEI SAKURAI, KENNETH M MR. 10/19/2010 \$1,000.00 GEI ALTRIA GROUP INC POLITICAL ACTION COMMITTEE 10/28/2010 \$1,000.00 GEI AMERICAN VETERINARY MEDICAL ASSOCIATION 10/28/2010 \$1,000.00 GEI GOODFELLOW, TAMAR CHOTZEN MRS. 10/28/2010 \$1,000.00 GEI HOLBROOK, LAUREN K MS. 10/28/2010 \$1,500.00 GEI HOLBROOK, LAUREN K MS. 10/28/2010 \$1,500.00 GEI LAU, CONSTANCE H MRS. 10/28/2010 \$1,500.00 GEI LAU, CONSTANCE H MRS. 10/28/2010 \$1,000.00 GEI MATIONAL ASSOCIATION OF HEALTH UNDERWRITERS 10/28/2010 \$1,000.00 GEI NATIONAL ASSOCIATION TO SECURE & KEEP A MAJORITY PAC 10/28/2010 \$1,000.00 GEI NATIONAL MR. 10/29/2010 \$1,000.00 GEI NATI          | GENERAL      | <del></del> |             |                                 |
| LAI, ALVA ANN MMRS.  | GENERAL      |             | <del></del> |                                 |
| TILLLINGHAST, STANLEY DR. 10/18/2010 \$1,000.00 GET COMMITTEE TO RE-ELECT CONGRESSMAN DANA ROHRABACHER 10/19/2010 \$1,000.00 GET DAMON, JOHN P MR. 10/19/2010 \$1,000.00 GET REALTORS POLITICAL ACTION COMMITTEE 10/19/2010 \$1,000.00 GET SAKURAI, KENNETH M MR. 10/19/2010 \$1,000.00 GET ALTRIA GROUP INC POLITICAL ACTION COMMITTEE 10/28/2010 \$1,000.00 GET AMERICAN VETERINARY MEDICAL ASSOCIATION 10/28/2010 \$1,000.00 GET GOODFELLOW, TAMAR CHOTZEN MRS. 10/28/2010 \$1,000.00 GET HOLBROOK, LAUREN K MS. 10/28/2010 \$1,000.00 GET HUNG, MARSHALL W MR. 10/28/2010 \$1,500.00 GET LAU, CONSTANCE H MRS. 10/28/2010 \$1,500.00 GET MICROSOFT CORPORATION PAC 10/28/2010 \$1,000.00 GET NATIONAL ASSOCIATION OF HEALTH UNDERWRITERS 10/28/2010 \$1,000.00 GET NATIO           | GENERAL      | \$1,500.00  | 10/17/2010  | LUNDQUIST, EAVID R MR.          |
| COMMITTEE TO RE-ELECT CONGRESSMAN DANA ROHRABACHER 10/19/2010 \$1,000.00 GEI  DAMON, JOHN P MR. 10/19/2010 \$1,000.00 GEI  REALTORS POLITICAL ACTION COMMITTEE 10/19/2010 \$1,000.00 GEI  SAKURAI, KENNETH M MR. 10/19/2010 \$1,000.00 GEI  ALTRIA GROUP INC POLITICAL ACTION COMMITTEE 10/28/2010 \$1,000.00 GEI  AMERICAN VETERINARY MEDICAL ASSOCIATION 10/28/2010 \$1,000.00 GEI  BANK OF HAWAII SPEC 10/28/2010 \$1,000.00 GEI  GOODFELLOW, TAMAR CHOTZEN MRS. 10/28/2010 \$1,000.00 GEI  HOLBROOK, LAUREN K MS. 10/28/2010 \$1,500.00 GEI  HUNG, MARSHALL W MR. 10/28/2010 \$1,500.00 GEI  LAU, CONSTANCE H MRS. 10/28/2010 \$1,000.00 GEI  MICROSOFT CORPORATION PAC 10/28/2010 \$1,000.00 GEI  MICROSOFT CORPORATION OF HEALTH UNDERWRITERS 10/28/2010 \$1,000.00 GEI  OUR COUNTRY DESERVES BETTER PAC/TEAPARTYEXP 10/28/2010 \$2,000.00 GEI  REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC 10/28/2010 \$5,000.00 GEI  ASAM, DONALD MR. 10/29/2010 \$1,000.00 GEI | GENERAL      | \$1,000.00  | 10/18/2010  | LAI, ALVA ANN M MRS.            |
| CONGRESSMAN DANA ROHRABACHER 10/19/2010 \$1,000.00 GED DAMON, JOHN P MR. 10/19/2010 \$1,000.00 GED REALTORS POLITICAL ACTION COMMITTEE 10/19/2010 \$1,000.00 GED SAKURAI, KENNETH M MR. 10/19/2010 \$1,000.00 GED ALTRIA GROUP INC POLITICAL ACTION COMMITTEE 10/28/2010 \$1,000.00 GED AMERICAN VETERINARY MEDICAL ASSOCIATION 10/28/2010 \$1,000.00 GED ANK OF HAWAII SPEC 10/28/2010 \$1,000.00 GED GOODFELLOW, TAMAR CHOTZEN MRS. 10/28/2010 \$1,000.00 GED HOLBROOK, LAUREN K MS. 10/28/2010 \$1,500.00 GED ANK OF HAWAII SPEC 10/28/2010 \$1,000.00 GED ANK OF HEALTH UNDERWRITERS 10/28/2010 \$1,0  | GENERAL      | \$1,000.00  | 10/18/2010  |                                 |
| DAMON, JOHN P MR.         10/19/2010         \$1,000.00         GET           REALTORS POLITICAL ACTION         10/19/2010         \$1,000.00         GET           SAKURAI, KENNETH M MR.         10/19/2010         \$1,000.00         GET           ALTRIA GROUP INC POLITICAL ACTION         10/28/2010         \$1,000.00         GET           AMERICAN VETERINARY MEDICAL         10/28/2010         \$1,000.00         GET           AMERICAN VETERINARY MEDICAL         10/28/2010         \$1,000.00         GET           BANK OF HAWAII SPEC         10/28/2010         \$1,000.00         GET           GOODFELLOW, TAMAR CHOTZEN MRS.         10/28/2010         \$1,000.00         GET           HOLBROOK, LAUREN K MS.         10/28/2010         \$1,500.00         GET           HUNG, MARSHALL W MR.         10/28/2010         \$1,000.00         GET           LAU, CONSTANCE H MRS.         10/28/2010         \$1,000.00         GET           MICROSOFT CORPORATION PAC         10/28/2010         \$1,000.00         GET           NATIONAL ASSOCIATION OF HEALTH         UNDERWRITERS         10/28/2010         \$1,000.00         GET           OUR COUNTRY DESERVES BETTER         PAC/TEAPARTYEXP         10/28/2010         \$2,000.00         GET           REPUBLICAN OPERATION TO SECURE &   |              |             |             |                                 |
| REALTORS POLITICAL ACTION         10/19/2010         \$1,000.00         GEI           SAKURAI, KENNETH M MR.         10/19/2010         \$1,000.00         GEI           ALTRIA GROUP INC POLITICAL ACTION         10/28/2010         \$1,000.00         GEI           COMMITTEE         10/28/2010         \$1,000.00         GEI           AMERICAN VETERINARY MEDICAL         10/28/2010         \$1,000.00         GEI           ASSOCIATION         10/28/2010         \$1,000.00         GEI           GOODFELLOW, TAMAR CHOTZEN MRS.         10/28/2010         \$1,000.00         GEI           HOLBROOK, LAUREN K MS.         10/28/2010         \$1,500.00         GEI           HUNG, MARSHALL W MR.         10/28/2010         \$1,000.00         GEI           LAU, CONSTANCE H MRS.         10/28/2010         \$1,000.00         GEI           MICROSOFT CORPORATION PAC         10/28/2010         \$1,000.00         GEI           NATIONAL ASSOCIATION OF HEALTH         UNDERWRITERS         10/28/2010         \$1,000.00         GEI           OUR COUNTRY DESERVES BETTER         PAC/TEAPARTYEXP         10/28/2010         \$2,000.00         GEI           REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC         10/28/2010         \$5,000.00         GEI           ASAM, DONALD MR.  | GENERAL      |             |             |                                 |
| COMMITTEE       10/19/2010       \$1,000.00       GEI         SAKURAI, KENNETH M MR.       10/19/2010       \$1,000.00       GEI         ALTRIA GROUP INC POLITICAL ACTION<br>COMMITTEE       10/28/2010       \$1,000.00       GEI         AMERICAN VETERINARY MEDICAL<br>ASSOCIATION       10/28/2010       \$1,000.00       GEI         BANK OF HAWAII SPEC       10/28/2010       \$1,000.00       GEI         GOODFELLOW, TAMAR CHOTZEN MRS.       10/28/2010       \$1,000.00       GEI         HOLBROOK, LAUREN K MS.       10/28/2010       \$1,500.00       GEI         HUNG, MARSHALL W MR.       10/28/2010       \$1,500.00       GEI         LAU, CONSTANCE H MRS.       10/28/2010       \$1,000.00       GEI         MICROSOFT CORPORATION PAC       10/28/2010       \$1,000.00       GEI         NATIONAL ASSOCIATION OF HEALTH<br>UNDERWRITERS       10/28/2010       \$1,000.00       GEI         OUR COUNTRY DESERVES BETTER<br>PAC/TEAPARTYEXP       10/28/2010       \$2,000.00       GEI         REPUBLICAN OPERATION TO SECURE &<br>KEEP A MAJORITY PAC       10/28/2010       \$5,000.00       GEI         ASAM, DONALD MR.       10/29/2010       \$1,000.00       GEI   | GENERAL      | \$1,000.00  | 10/19/2010  |                                 |
| SAKURAI, KENNETH M MR.       10/19/2010       \$1,000.00       GE         ALTRIA GROUP INC POLITICAL ACTION       10/28/2010       \$1,000.00       GE         COMMITTEE       10/28/2010       \$1,000.00       GE         AMERICAN VETERINARY MEDICAL       10/28/2010       \$1,000.00       GE         BANK OF HAWAII SPEC       10/28/2010       \$1,000.00       GE         GOODFELLOW, TAMAR CHOTZEN MRS.       10/28/2010       \$1,000.00       GE         HOLBROOK, LAUREN K MS.       10/28/2010       \$1,500.00       GE         HUNG, MARSHALL W MR.       10/28/2010       \$1,000.00       GE         MICROSOFT CORPORATION PAC       10/28/2010       \$1,000.00       GE         NATIONAL ASSOCIATION OF HEALTH       UNDERWRITERS       10/28/2010       \$1,000.00       GE         OUR COUNTRY DESERVES BETTER       PAC/TEAPARTYEXP       10/28/2010       \$2,000.00       GE         REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC       10/28/2010       \$5,000.00       GE         ASAM, DONALD MR.       10/29/2010       \$1,000.00       GE   | CEN. 12D 4 I | 21 222 22   | 10/10/0010  | T I                             |
| ALTRIA GROUP INC POLITICAL ACTION COMMITTEE  AMERICAN VETERINARY MEDICAL ASSOCIATION BANK OF HAWAII SPEC  GOODFELLOW, TAMAR CHOTZEN MRS. HOLBROOK, LAUREN K MS. HUNG, MARSHALL W MR. LAU, CONSTANCE H MRS. MICROSOFT CORPORATION PAC NATIONAL ASSOCIATION OF HEALTH UNDERWRITERS OUR COUNTRY DESERVES BETTER PAC/TEAPARTYEXP REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC ASSOCIATION GE  10/28/2010 \$1,000.00 GE 10/28/2010 \$1,000.00 GE 10/28/2010 \$1,000.00 GE 10/28/2010 \$1,000.00 GE 10/28/2010 \$1,000.00 GE 10/28/2010 \$1,000.00 GE 10/28/2010 \$1,000.00 GE 10/28/2010 \$1,000.00 GE 10/28/2010 \$1,000.00 GE 10/28/2010 \$1,000.00 GE 10/28/2010 \$2,000.00 GE   | GENERAL      |             |             |                                 |
| COMMITTEE       10/28/2010       \$1,000.00       GET         AMERICAN VETERINARY MEDICAL       10/28/2010       \$1,000.00       GET         ASSOCIATION       10/28/2010       \$1,000.00       GET         BANK OF HAWAII SPEC       10/28/2010       \$1,000.00       GET         GOODFELLOW, TAMAR CHOTZEN MRS.       10/28/2010       \$1,000.00       GET         HOLBROOK, LAUREN K MS.       10/28/2010       \$1,500.00       GET         HUNG, MARSHALL W MR.       10/28/2010       \$1,000.00       GET         LAU, CONSTANCE H MRS.       10/28/2010       \$1,000.00       GET         MICROSOFT CORPORATION PAC       10/28/2010       \$1,000.00       GET         NATIONAL ASSOCIATION OF HEALTH       10/28/2010       \$1,000.00       GET         OUR COUNTRY DESERVES BETTER       10/28/2010       \$2,000.00       GET         REPUBLICAN OPERATION TO SECURE &       KEEP A MAJORITY PAC       10/28/2010       \$5,000.00       GET         ASAM, DONALD MR.       10/29/2010       \$1,000.00       GET  | GENERAL      | \$1,000.00  | 10/19/2010  |                                 |
| AMERICAN VETERINARY MEDICAL ASSOCIATION  BANK OF HAWAII SPEC  GOODFELLOW, TAMAR CHOTZEN MRS.  HOLBROOK, LAUREN K MS.  HUNG, MARSHALL W MR.  LAU, CONSTANCE H MRS.  MICROSOFT CORPORATION PAC  NATIONAL ASSOCIATION OF HEALTH UNDERWRITERS  OUR COUNTRY DESERVES BETTER PAC/TEAPARTYEXP  REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC  ASAM, DONALD MR.  10/28/2010  \$1,000.00  GE  10/28/2010  \$1,000.00  GE  10/28/2010  \$2,000.00  GE  10/28/2010  \$3,000.00  GE  10/28/2010  \$1,000.00  GE  10/28/2010  \$2,000.00  GE  10/28/2010  \$1,000.00  GE   | CENEDAL      | 61,000,00   | 10/29/2010  | 1                               |
| ASSOCIATION 10/28/2010 \$1,000.00 GET BANK OF HAWAII SPEC 10/28/2010 \$1,000.00 GET GOODFELLOW, TAMAR CHOTZEN MRS. 10/28/2010 \$1,000.00 GET HOLBROOK, LAUREN K MS. 10/28/2010 \$1,500.00 GET HUNG, MARSHALL W MR. 10/28/2010 \$1,500.00 GET LAU, CONSTANCE H MRS. 10/28/2010 \$1,000.00 GET MICROSOFT CORPORATION PAC 10/28/2010 \$1,000.00 GET NATIONAL ASSOCIATION OF HEALTH UNDERWRITERS 10/28/2010 \$1,000.00 GET OUR COUNTRY DESERVES BETTER PAC/TEAPARTYEXP 10/28/2010 \$2,000.00 GET REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC 10/28/2010 \$5,000.00 GET ASAM, DONALD MR. 10/29/2010 \$1,000.00 GET ASAM, DONALD MR.   | GENERAL      | \$1,000.00  | 10/28/2010  |                                 |
| BANK OF HAWAII SPEC         10/28/2010         \$1,000.00         GE           GOODFELLOW, TAMAR CHOTZEN MRS.         10/28/2010         \$1,000.00         GE           HOLBROOK, LAUREN K MS.         10/28/2010         \$1,500.00         GE           HUNG, MARSHALL W MR.         10/28/2010         \$1,500.00         GE           LAU, CONSTANCE H MRS.         10/28/2010         \$1,000.00         GE           MICROSOFT CORPORATION PAC         10/28/2010         \$1,000.00         GE           NATIONAL ASSOCIATION OF HEALTH         UNDERWRITERS         10/28/2010         \$1,000.00         GE           OUR COUNTRY DESERVES BETTER         PAC/TEAPARTYEXP         10/28/2010         \$2,000.00         GE           REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC         10/28/2010         \$5,000.00         GE           ASAM, DONALD MR.         10/29/2010         \$1,000.00         GE   | GENERAL      | \$1,000,00  | 10/28/2010  | _                               |
| GOODFELLOW, TAMAR CHOTZEN MRS. 10/28/2010 \$1,000.00 GENT HOLBROOK, LAUREN K MS. 10/28/2010 \$1,500.00 GENT HUNG, MARSHALL W MR. 10/28/2010 \$1,500.00 GENT LAU, CONSTANCE H MRS. 10/28/2010 \$1,000.00 GENT CORPORATION PAC 10/28/2010 \$1,000.00 GENT LAU, CONSTANCE H MRS. 10/28/2010 \$2,000.00 GENT LAU, CONSTANCE H MRS. 10/28/2010 \$2,000.00 GENT LAU, CONSTANCE H MRS. 10/28/2010 \$2,000.00 GENT LAU, CONSTANCE H MRS. 10/28/2010 \$5,000.00 GENT LAU, CONSTANCE H MRS. 10/28/2010 \$1,000.00 GENT LAU, CONSTANCE H MRS. 10/28 | GENERAL      |             |             |                                 |
| HOLBROOK, LAUREN K MS. 10/28/2010 \$1,500.00 GE HUNG, MARSHALL W MR. 10/28/2010 \$1,500.00 GE LAU, CONSTANCE H MRS. 10/28/2010 \$1,000.00 GE MICROSOFT CORPORATION PAC 10/28/2010 \$1,000.00 GE NATIONAL ASSOCIATION OF HEALTH UNDERWRITERS 10/28/2010 \$1,000.00 GE OUR COUNTRY DESERVES BETTER PAC/TEAPARTYEXP 10/28/2010 \$2,000.00 GE REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC 10/28/2010 \$5,000.00 GE ASAM, DONALD MR. 10/29/2010 \$1,000.00 GE  | GENERAL      |             |             |                                 |
| HUNG, MARSHALL W MR. 10/28/2010 \$1,500.00 GE  LAU, CONSTANCE H MRS. 10/28/2010 \$1,000.00 GE  MICROSOFT CORPORATION PAC 10/28/2010 \$1,000.00 GE  NATIONAL ASSOCIATION OF HEALTH  UNDERWRITERS 10/28/2010 \$1,000.00 GE  OUR COUNTRY DESERVES BETTER  PAC/TEAPARTYEXP 10/28/2010 \$2,000.00 GE  REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC 10/28/2010 \$5,000.00 GE  ASAM, DONALD MR. 10/29/2010 \$1,000.00 GE  | GENERAL      |             |             |                                 |
| LAU, CONSTANCE H MRS.       10/28/2010       \$1,000.00       GE         MICROSOFT CORPORATION PAC       10/28/2010       \$1,000.00       GE         NATIONAL ASSOCIATION OF HEALTH       10/28/2010       \$1,000.00       GE         OUR COUNTRY DESERVES BETTER       10/28/2010       \$2,000.00       GE         REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC       10/28/2010       \$5,000.00       GE         ASAM, DONALD MR.       10/29/2010       \$1,000.00       GE   | GENERAL      |             |             |                                 |
| MICROSOFT CORPORATION PAC  NATIONAL ASSOCIATION OF HEALTH UNDERWRITERS  OUR COUNTRY DESERVES BETTER PAC/TEAPARTYEXP  REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC  ASAM, DONALD MR.  10/28/2010  \$1,000.00  GE  10/28/2010  \$5,000.00  GE  10/28/2010  \$1,000.00  GE  | GENERAL      |             |             |                                 |
| NATIONAL ASSOCIATION OF HEALTH UNDERWRITERS 10/28/2010 \$1,000.00 GE OUR COUNTRY DESERVES BETTER PAC/TEAPARTYEXP 10/28/2010 \$2,000.00 GE REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC 10/28/2010 \$5,000.00 GE ASAM, DONALD MR. 10/29/2010 \$1,000.00 GE  | GENERAL      |             |             |                                 |
| UNDERWRITERS         10/28/2010         \$1,000.00         GE           OUR COUNTRY DESERVES BETTER         10/28/2010         \$2,000.00         GE           PAC/TEAPARTYEXP         10/28/2010         \$2,000.00         GE           REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC         10/28/2010         \$5,000.00         GE           ASAM, DONALD MR.         10/29/2010         \$1,000.00         GE  | <u> </u>     | 31,000.00   | 10/26/2010  |                                 |
| OUR COUNTRY DESERVES BETTER         10/28/2010         \$2,000.00         GE           PAC/TEAPARTYEXP         10/28/2010         \$2,000.00         GE           REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC         10/28/2010         \$5,000.00         GE           ASAM, DONALD MR.         10/29/2010         \$1,000.00         GE  | GENERAL      | \$1,000.00  | 10/28/2010  |                                 |
| REPUBLICAN OPERATION TO SECURE & KEEP A MAJORITY PAC         10/28/2010         \$5,000.00         GE           ASAM, DONALD MR.         10/29/2010         \$1,000.00         GE  |              | 0:,000.00   | 10/20/2010  |                                 |
| KEEP A MAJORITY PAC         10/28/2010         \$5,000.00         GE           ASAM, DONALD MR.         10/29/2010         \$1,000.00         GE   | GENERAL      | \$2,000.00  | 10/28/2010  | 1                               |
| ASAM, DONALD MR. 10/29/2010 \$1,000.00 GE  |              |             |             |                                 |
|  | GENERAL      | \$5,000.00  | 10/28/2010  | KEEP A MAJORITY PAC             |
| KEVIN BRADY FOR CONGRESS 10/30/2010 .\$1,000.00 GF.  | GENERAL      | \$1,000.00  | 10/29/2010  | ASAM, DONALD MR.                |
|  | GFNERAL      | .\$1,000.00 | 10/30/2010  | KEVIN BRADY FOR CONGRESS        |
| TOTAL \$88,633.33  |              | \$88,633.33 | TOTAL       |                                 |

Proposed Civil Money Penalty: Proposed Civil Money Penalty: \$10,733.00 ((17 Notices Not Filed at \$110 each) + (10% of the Overall Contributions Not Filled))

Penalty \$10,733

AF# 2570

#### BEFORE THE FEDERAL ELECTION COMMISSION

| In the Matter of                      | ) |         |
|---------------------------------------|---|---------|
| Djou for Hawaii and Chris S. Mashiba, | ) | AF 2570 |
| Djou for Hawan and Chills B. Masinba, | , |         |
| Treasurer                             | ) |         |

#### **CERTIFICATION**

I, Shelley E. Garr, recording secretary for the Federal Election Commission executive session on December 18, 2012, do hereby certify that the Commission decided by a vote of 6-0 to take the following actions:

- 1. Find reason to believe that, in accordance with the Final Audit Report adopted by the Commission, Djou for Hawaii and Chris S. Mashiba, Treasurer, failed to file 48-hour notices for contributions totaling \$50,700 and to make a preliminary determination that a civil penalty of \$6,720 be assessed.
- 2. Instruct the Reports Analysis Division to send the appropriate letter.

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attast:

December 19, 2012

Shelley E. Gant

Deputy Secretary of the Commission



December 20, 2012

Chris S. Mashiba, in official capacity as Treasurer Djou for Hawaii P.O. Box 235280 Honolulu, HI 96823-3504

C00441451 AF#: 2570

Dear Mr. Mashiba:

The Federal Election Campaign Act of 1971, as amended, 2 U.S.C. § 431, et seq. ("the Act"), requires principal campaign committees of candidates for federal office to notify in writing either the Secretary of the Senate or the Federal Election Commission ("FEC"), and the Secretary of State, as appropriate, of any contribution of \$1,000 or more, received by any authorized committee of the candidate after the 20th day, but more than 48 hours before, any election. 2 U.S.C. § 434(a)(6)(A). The Act further requires notification to be made within 48 hours after the receipt of the contribution and to include the name of the candidate and office sought, the date of receipt, the amount of the contribution, and the identification of the commitmion. Id. These notification requirements are in addition to all other reporting requirements. 2 U.S.C. § 434(a). Our records indicate that Djou for Hawaii did not submit 48-Hour Notices for contributions of \$1,000 or more, received between May 4, 2010 and October 30, 2010, totaling \$50,700, as required by 2 U.S.C. § 434(a)(6)(A). Attachment 1.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On December 18, 2012, the FEC found that there is Reason to Believe ("RTB") that Djou for Hawaii and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) by failing to file the 48-Hour Notices. Based on the FEC's schedule of civil money penalties at 11 C.F.R. § 111.44, the amount of your civil money penalty calculated at the RTB stage is \$6,720. Please see the attnehed oney of the Commission's administrative fine regulations at 11 C.F.R. §§ 111.30-111.55. Attachment 2. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. <a href="http://www.fec.gov/af/af.shtml">http://www.fec.gov/af/af.shtml</a>. 11 C.F.R. § 111.34. The amount of the civil money penalty is \$110 for each non-filed notice plus 10 percent of the dollar amount of the contributions not timely reported. The civil money penalty increases by 25 percent for each prior violation. Send your payment of \$6,720 within forty (40) days of the finding, or by January 27, 2013.

At this juncture, the following courses of action are available to you:

#### 1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your committee's identification number, to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must be received within forty (40) days of the Commission's RTB finding, or January 27, 2013. 11 C.F.R. § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/on calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 C.F.R. § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 C.F.R. § 111.35(b). In order for a challenge to be considered on the basis of heat efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforescen circumstances. Id. Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 111.35(c). Examples of eircumstances that will not be considered reasonably unfbreseen and beyond your control include, but are not limited to, (1) negligence; (2) delays eaused by vendors or contractors; (3) treusurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 C.F.R. § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 C.F.R. § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephene number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

#### 2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Djou for Hawaii and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

Unpaid civil money penalties essessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection

Improvement Act of 1996, 31 U.S.C. § 3701 et seq. The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 C.F.R. § 111.51(a)(2).

#### 3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 C.F.R. § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As notetl earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <a href="http://www.fec.gov/af/af.shtml">http://www.fec.gov/af/af.shtml</a>. If you have questions regarding the payment of the calculated civil money penalty, please contact David Garr in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,

Caroni C. Hunter

Caroline C. Hunter

Chair

#### **ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS**

In accordance with the schedule of penalties at 11 C.F.R. § 111.44, the amount of your civil money ponalty calculated at RTB is \$6,720 for the 2010 Special, Primary, and General Election 48-Hour Notification Reports.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission P.O. Box 979058 St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox FEC #979058 1005 Convention Plaza Attn: Government Lockbox, SL-MO-C2GL St. Louis, MO 63101

The remittance and your payment are due by January 27, 2013. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

#### PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

#### PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Djou for Hawaii

FEC ID#: C00441451

AF#: 2570

PAYMENT DUE DATE: January 27, 2013

PAYMENT AMOUNT DUE: \$6,720

# 2010 Special General, Primary, and General Contributions for Which a 48-Hour Notice Was Not Received

AF: 2570

Committee ID: C00441451

Committee Name: Djou for Hawaii

Report Types: 30 Day Post-Special General Report (5/3/2010 - 6/11/2010)

October Quarterly Report (8/30/2010 - 9/30/2010) 30 Day Post General Report (10/14/2010 - 11/22/2010)

Special General 48-Hour Reporting Period: 5/3/2010 - 5/19/2010

Primary 48-Hour Reporting Period: 8/30/2010 - 9/15/2010 General 48-Hour Reporting Period: 10/14/2010 - 10/30/2010

| COMBRESONS                       | DZ-VIÇÎZ   | Henicolnox | en e |
|----------------------------------|------------|------------|--|
| FUJIMOTO, LORIANN MRS.           | 5/4/2010   | \$1,000.00 | SPECIAL                                  |
| JAQUISH, GAIL A DR.              | 5/4/2010   | \$4,800.00 | SPECIAL                                  |
| LIBERTY FIRST PAC                | 5/4/2010   | \$2,000.00 | SPECIAL                                  |
| NFIB SAFE TRUST                  | 5/4/2010   | \$2,500.00 | SPECIAL                                  |
| BISHOP, ROBERT MR.               | 5/8/2010   | \$2,400.00 | SPECIAL                                  |
| JAGGERS, KURT MR.                | 5/11/2010  | \$1,000.00 | SPECIAL                                  |
| LEWIT, ROBERT DR. MD             | 5/11/2010  | \$1,000.00 | SPECIAL                                  |
| BENDER, DONNA MS.                | 5/14/2010  | \$1,000.00 | SPECIAL                                  |
| ELDEN, RICHARD MR.               | 5/14/2010  | \$2,400.00 | SPECIAL                                  |
| JAMES, RON MR.                   | 5/14/2010  | \$1,400.00 | SPECIAL                                  |
| THOLEN, C. PHILIP MR.            | 5/14/2010  | \$2,400.00 | SPECIAL                                  |
| BURGER, BENJAMIN MR.             | 8/30/2010  | \$1,200.00 | PRIMARY                                  |
| OKI, PATRICK H MR.               | 9/2/2010   | \$1,500.00 | PRIMARY                                  |
| TAFF, LAWRENCE J MR.             | 9/2/2010   | \$1,000.00 | PRIMARY                                  |
| HALES, DAVID                     | 9/11/2010  | \$1,000.00 | PRIMARY                                  |
| BERNSTEIN, LAWRENCE MR.          | 10/15/2010 | \$2,400.00 | GENERAL                                  |
| BUTLER, COLIN MR.                | 10/15/2010 | \$2,400.00 | GENERAL                                  |
| LUNDQUIST, DAVID R MR.           | 10/17/2010 | \$1,500.00 | GENERAL                                  |
| LAI, ALVA ANN M MRS.             | 10/18/2010 | \$1,000.00 | GENERAL                                  |
| TILLLINGHAST, STANLEY DR.        | 10/18/2010 | \$1,000.00 | GENERAL                                  |
| DAMON, JOHN P MR.                | 10/19/2010 | \$1,000.00 | GENERAL                                  |
| STOEBNER, MORRIS L MR.           | 10/20/2012 | \$2,800.00 | GENERAL                                  |
| HOLBROOK, LAUREN K MS.           | 10/28/2010 | \$1,500.00 | GENERAL                                  |
| HUNG, MARSHALL W MR.             | 10/28/2010 | \$1,500.00 | GENERAL                                  |
| OUR COUNTRY DESERVES BETTER      |            |            |  |
| PAC/TEAPARTYEXP                  | 10/28/2010 | \$2,000.00 | GENERAL                                  |
| REPUBLICAN OPERATION TO SECURE & | 10/28/2010 | \$5,000.00 | GENERAL                                  |

| ASAM, DONALD MR.         | 10/29/2010     | \$1,000.00 | GENERAL |
|--------------------------|----------------|------------|---------|
| KEVIN BRADY FOR CONGRESS | 10/30/2010     | \$1,000.00 | GENERAL |
|                          | A skiron Maste |            |         |

Proposed Civil Money Penalty: Proposed Civil Money Penalty: \$6,720.00 ((15 Notices Not Filed at \$110 each) + (10% of the Overall Contributions Not Filled))



RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2013 JAN 30 PM 4: 51

January 30, 2013

SENSITIVE

**MEMORANDUM** 

TO:

The Commission

THROUGH:

Alec Palmer Dywy

FROM:

Patricia C. Orrock DC/PC Chief Compliance Officer

Debbie Chacona CV
Assistant Staff Director
Reports Analysis Division

BY:

Vodi Winship/David Garr Compliance Branch

SUBJECT:

Administrative Fine Program – Final Determination Recommendation for the

Failure to File 48-Hour Notices

Attached is a list identifying a political committee and its treasurer against which the Commission has found reason to believe (RTB) and assessed a proposed civil money penalty calculated at RTB for failure to file or failure to timely file the appropriate 48 Hour Notice for the 2010 Special General Election, 2010 Hawaii Primary Election, and the 2010 General Election. The committee has paid the civil money penalty requested at RTB.

In accordance with 11 CFR § 111.34, the Commission shall send a final determination notice to the respondent that has paid the civil money penalty.

#### **RAD Recommendation**

- (1) Make final determination that the political committee and its treasurer listed on the attached report violated 2 U.S.C. § 434(a) and assess the final civil money penalty so indicated.
- (2) Send the appropriate letter.

Federal Election Commission Final Determination Circulation Report 48-Hour Notification Report

| Paid   | 8  |
|--|--|
| Amoun  | \$6,720  |
| Date Paid  | 01/08/2013                                     |
| FO Penalty   | \$6,720  |
| RTB Penalty  | \$6,720  |
| LOA RTB Date RTB Penalty FD Penalty Date Paid Amount Pai | \$50,700 12/18/2012 \$6,720 \$6,720 01/08/2013 |
| <b>Y</b> 01  | \$50,700                                       |
| Votices Not Filed  | \$   |
| Prev Violations  | 0  |
| n Treasurer Pr   | MASHIBA, CHRIS<br>S                            |
| Candidate Nam  | DJOU, CHARLES MASHIBA, CHRIS<br>K S            |
| Election   | 2010   |
| State  | Ī  |
| Committee ID Committee Name                              | DJOU FOR<br>HAWAII                             |
| Committee ID   | C00441451                                      |
| ¥  | 2570   |

#### BEFORE THE FEDERAL ELECTION COMMISSION

| In the Matter of                     | ) |         |
|--------------------------------------|---|---------|
| Administrative Fine Program – Final  | ) | AF 2570 |
| Determination Recommendation for the | ) |         |
| Failure to File 48-Hour Notices      | ) |         |

#### CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on February 01, 2013, the Commission decided by a vote of 6-0 to take the following actions in AF 2570:

- 1. Make final determination that Djou for Hawaii and Chris S. Mashiba, Treasurer, violated 2 U.S.C. § 434(a) and assess the final civil money penalty in the amount of \$6,720.
- 2. Send the appropriate letter.

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

Jahuen 4, 2013

Shawn Woodhead Werth

Secretary and Clerk of the Commission



February 5, 2013

Chris S. Mashiba, in official capacity as Treasurer Djou for Hawaii P.O. Box 235280 Honolulu, HI 96823

C00441451 AF#: 2570

Dear Mr. Mashiba:

On December 18, 2012, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Djou for Hawaii and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) for failing to file 48-Hour Notices for contributions of \$1,000 or more, received between May 4, 2010 and October 30, 2010, totaling \$50,700. By letter dated December 20, 2012, the Commission notified you of the RTB finding and the civil money penalty calculated at the RTB stage totaling \$6,720 in accordance with the schedule of penalties at 11 C.F.R. § 111.44.

On January 8, 2013, the FEC received payment of the civil money penalty calculated at the RTB stage. The FEC made a final determination on February 1, 2013 that Djou for Hawaii and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a), assessed a civil money penalty in the amount of \$6,720 in accordance with 11 C.F.R. § 111.44 and voted to close the file.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 C.F.R. § 111.42(b). Although the file must be placed on the public record within thirty (30) days from the date of the Commission's notification, this could occur at anytime following certification of the Commission's vote.

If you have any questions regarding this matter, please contact David Garr on our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130.

On behalf of the Commission,

Ellen L. Weintraul

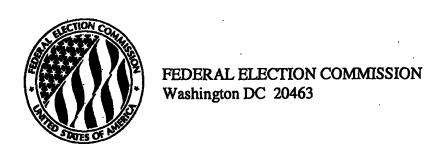
Ellen L. Weintraub

Chair

#### FEDERAL ELECTION COMMISSION

Batch Item TID Batch Total Amount
1 1 Y-3004577 \$7,485.00 \$6,720.00

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### THIS IS THE END OF ADMINISTRATIVE FINE CASE # 2570

DATE SCANNED 3-7-/3

SCAN OPERATOR Chip